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DOSTON, MA U	2109-2881	ŕ		Carrah M. M.		(Depositor's name)			
			-	Cauah N	ne.	(Signature)			
A PRINCIA TIONINO	50,000,000	<del></del>		October 11,	2006		(Date)		
APPLICATION NO. 10/788,741	FILING DATE		FIRST NAMED INVENTO	OR	ATTORNEY D	OCKET NO.	CONFIRMATION NO.		
TITLE OF INVENTION:	02/27/2004 STRUCTURES WITH	PLANAR STRAINED I	Minjoo L. Lee AYERS		ASC-0	12DV	6105		
APPLN, TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUI	PREV. PAID ISSUI	E FEE TOTA	L FEE(S) DUE	DATE DUE		
nonprovisional	NO	\$1400	\$300	\$0	<del>- 1</del>	\$1700	10/11/2006		
EXAMIN	NER	ART UNIT	CLASS-SUBCLASS	7					
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1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  The Address form PTO/SB/122) attached.  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  Change of correspondence address or indication of "Fee Address" (37 (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered attorneys or agent of 1500 control of 1500 contro									
3. ASSIGNEE NAME AN	s an assignee is identif	ied below no agricus	THE PATENT (print or to	NT (print or type) 92 FC:1594 1469.66 CP					
(A) NAME OF ASSIGN	NEF		(B) RESIDENCE: (CIT		OUNTRY)	•			
AmberWave S	ystems Corpor	ation	Salem, New	Hampshire					
Please check the appropriat	e assignee category or c	ategories (will not be pri	nted on the patent):	Individual L Co	rporation or oth	ıer private group	entity Government		
4a. The following fee(s) are  Issue Fee  Publication Fee (No:  Advance Order - # o	small entity discount pe	mitted)	Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)  A check is enclosed.  Payment by credit card. Form PTO-2038 is attached.  The Director is hereby authorized to charge any additional fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 07-1700 (enclose an extra copy of this form).						
5. Change in Entity Status  a. Applicant claims S	(from status indicated a	ibove)	_						
NOTE: The Issue Fee and P interest as shown by the rec	ublication Fas (if require	od) will not be seen the	b. Applicant is no lor from anyone other than Office.	nger claiming SMAL! the applicant; a regist	L ENTITY stat tered attorney o	us. See 37 CFR or agent; or the a	1.27(g)(2). assignee or other party in		
	not.			Date Octob	er 11, 2	006	<del></del>		
Typed or printed name _			/	Registration No	44,381				
This collection of informatic an application. Confidential submitting the completed ap this form and/or suggestions Box 1450. Alexandria, Virg Alexandria, Virginia 22313- Under the Paperwork Reduc	on is required by 37 CFI ity is governed by 35 U optication form to the Us for reducing this burde inia 22313-1450. DO N 1450. tion Act of 1995, no per	R 1.311. The information S.C. 122 and 37 CFR 1 SPTO. Time will vary on should be sent to the OT SEND FEES OR CO	is required to obtain or .14. This collection is estepending upon the individual Chief Information Office OMPLETED FORMS To ond to a collection of information of information of the second to a collection of information of the second to a collection to a collection of the second to a collection to a co	retain a benefit by the timated to take 12 m vidual case. Any com er, U.S. Patent and T. O THIS ADDRESS.	e public which inutes to compluments on the a rademark Offic SEND TO: Co	is to file (and by lete, including g amount of time ee, U.S. Departn nimissioner for	the USPTO to process) athering, preparing, and you require to complete ment of Commerce, P.O. Patents, P.O. Box 1450, mber.		
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PTOL-85 (Rev. 07/06) Approved for use through 04/30/2007.

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3006			Application	Application Serial Number		10/788,741				
			Filing Date			February 27, 2004				
OCT 16 2006 (m)			First Named	First Named Inventor		Lec				
TRANSMITTAL			Group Art l	Art Unit		2813				
PARE			Examiner N	Examiner Name		Nguyen, Tuan H.				
FORM			Attorney Do	Docket No.		ASC-012DV				
			Patent No.			Not applicable				
			Issue Date			Not applicable				
ENCLOSURES (check all that apply)										
⊠ Fe	e Transmittal Form			e to File Missing						
	(Form PTOL-85)  ☑ Check Attached		Parts of Appli	cation		of Patent Appeals and Interferences				
	Copy of Fee Transmittal Form		Formal Drawin	ng(s)		Appeal Brief (in triplicate)				
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	Petition for Extension of	☐ Declaration a		nd Power		Additional Enclosure(s)				
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	<ul><li>Statement verifying identity of above</li></ul>									
CORR	ESPONDENCE ADDRESS	,		SIGNATURE BL	OC!	К				
Direct all correspondence to: Patent Administrator					Respectfully submitted,					
Goodwin Procter LLP			P			02 - 01				
Exchange Place Boston, MA 02109				Date: October 11, 20 Reg. No. 44,381	006	Natasha C. US				
Tel. No.: (617) 570-1000 Fax No.: (617) 523-1231 Customer No. 051414				Tel. No.: (617) 570-		06 Attorney for Applicant				
				Fax No.: (617) 523-	-123					
	_				Exchange Place Boston, MA 02109					



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Lee et al.

SERIAL NO.:

10/788,741

GROUP NO.:

2813

FILING DATE:

February 27, 2004

**EXAMINER:** 

Nguyen, Tuan H.

TITLE:

STRUCTURES WITH PLANAR STRAINED LAYERS

## CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 11th\_day of October, 2006.

Carrah M. Malone

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## Submitted herewith are:

- 1. Transmittal Form (1 page);
- 2. Form PTOL-85 (1 page);
- 3. Check in the amount of \$1,700.00; and
- 4. Return receipt postcard

LIBC/2857430